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Dated: August 16, 2004      Signature: Jonathan A. David  
(Jonathan A. David)

Docket No.: SONYAK 3.3-162  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Ishiguro et al.

Application No.: 10/009,076

Group Art Unit: 2131

Filed: April 15, 2002

Examiner: Y. Kassa

For: INFORMATION PROCESSING SYSTEM AND  
METHOD

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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AUG 24 2004

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The cited references were listed on a search report and a written opinion issued in connection with applicant's corresponding Australian patent application. A copy of the search report, a copy of the written opinion and a copy of the translated Japanese references are enclosed. Both Japanese patent documents (and the two cited journal articles) were previously submitted with applicant's Information Disclosure Statement filed on December 3, 2001. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

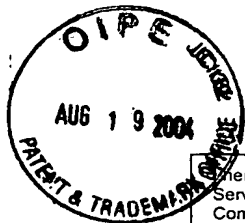
Dated: August 16, 2004

Respectfully submitted,

By Jonathan A. David  
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Alexandria, VA 22313-1450

**CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(1)**

Sir:

Pursuant to 37 C.F.R. § 1.97(e)(1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: August 16, 2004

Respectfully submitted,

By \_\_\_\_\_

Jonathan A. David

Registration No.: 36,494

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LD-456A



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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/009,076
				Filing Date	April 15, 2002
				First Named Inventor	Ryuji Ishiguro
				Art Unit	2131
				Examiner Name	Y. Kassa
Sheet	1	of	1	Attorney Docket Number	SONYAK 3.3-162

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP-11-187013-A1	07-09-1999	IBM Japan Ltd		✓
	BB	JP-11-250568-A1	09-17-1999	Sony Corp		✓

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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Examiner Signature		Date Considered	
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